

Case No.: 99,297

**DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Covalent Conjugates of Biologically-Active Compounds with Amino Acids and Amino Acid Derivatives for Targeting to Physiologically-Protected Sites

the specification of which is attached hereto unless the following space is checked:

☒ was filed on November 5, 2001 as United States Application Serial Number 09/993,976.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s):

	<u>Number</u>	<u>Country</u>	<u>Day/Month/Year Filed</u>
1.			
2.			

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

	<u>Application Number</u>	<u>Filing Date</u>
1.		
2.		

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

	<u>Application Number</u>	<u>Filing Date</u>	<u>Status: patented, pending, abandoned</u>
1.			
2.			

I hereby appoint the practitioners associated with the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and I direct that all correspondence be addressed to that Customer Number.

Customer Number: 020306

Principal attorney or agent: Kevin E. Noonan

Telephone number: 312-913-0001

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: Milton B. Yatvin

Inventor's signature: Milton B. Yatvin

Date: May 6, 2002

Residence: Portland, Oregon

Citizenship: US

Post Office Address: 5226 SW Northwood Avenue, Portland, Oregon 97201

Full name of second joint inventor: Richard L. Pederson

Inventor's signature: _____

Date: _____

Residence: San Gabriel, California

Citizenship: US

Post Office Address: 8736 Huntington Drive, San Gabriel, California 91775

Case No.: 99,297

**DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Covalent Conjugates of Biologically-Active Compounds with Amino Acids and Amino Acid Derivatives for Targeting to Physiologically-Protected Sites

the specification of which is attached hereto unless the following space is checked:

☒ was filed on November 5, 2001 as United States Application Serial Number 09/993,976.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s):

	<u>Number</u>	<u>Country</u>	<u>Day/Month/Year Filed</u>
1.			
2.			

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

	<u>Application Number</u>	<u>Filing Date</u>
1.		
2.		

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

	<u>Application Number</u>	<u>Filing Date</u>	<u>Status: patented, pending, abandoned</u>
1.			
2.			

I hereby appoint the practitioners associated with the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and I direct that all correspondence be addressed to that Customer Number.

Customer Number: 020306

Principal attorney or agent: Kevin E. Noonan

Telephone number: 312-913-0001

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: Milton B. Yatvin

Inventor's signature: _____

Date: _____

Residence:

Portland, Oregon

Citizenship:

US

Post Office Address:

5226 SW Northwood Avenue, Portland, Oregon 97201

Full name of second joint inventor: Richard L. Pederson

Inventor's signature: Richard L. Pederson

Date: April 27, 2002

Residence:

San Gabriel, California

Citizenship:

US

Post Office Address:

8736 Huntington Drive, San Gabriel, California 91775



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/993,976	11/05/2001	Yatvin	99,297

20306
MCDONNELL BOEHNNEN HULBERT & BERGHOFF
300 SOUTH WACKER DRIVE
SUITE 3200
CHICAGO, IL 60606

CONFIRMATION NO. 7958

FORMALITIES LETTER



OC000000007390346

Date Mailed: 01/30/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Tram Chau

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Hon. Commissioner of
Patents and Trademarks

09/993,976

Atty: KEN/gb

Re: Applicant - Yatvin et al.

Case No. 99,297

**COVALENT CONJUGATES OF BIOLOGICALLY-ACTIVE COMPOUNDS WITH
AMINO ACIDS AND AMINO ACID DERIVATIVES FOR TARGETING...**

Sir:

Please place the Patent Office receipt stamp hereon and mail to acknowledge receipt of:

- ☒ Transmittal Letter
- ☒ Petition for Extension of Time
- ☒ Response to Notice to File Missing Parts of Application
- ☒ Executed copies of Oath or Declaration
- ☒ Copy of the Notice to File Missing Parts of Application
- ☒ Certificate of Mailing

Fee Enclosed
\$ 265.00

Date Mailed: May 10, 2002

Respectfully,
McDonnell Boehnen Hulbert & Berghoff
Attorney for Applicant

**MC DONNELL BOEHNNEN
HULBERT & BERGHOFF**
300 S. WACKER DR., 32ND FLOOR
CHICAGO, IL 60606-6701

USBANK
70-477-719

36095

CHECK NO. CHECK DATE VENDOR NO.

05/09/02 AS0502

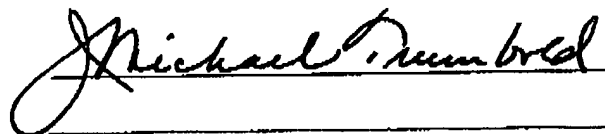
CHECK AMOUNT

TWO HUNDRED SIXTY-FIVE AND 00/100 DOLLARS*****

*****265.00

THE
IER

Commissioner of Patents



⑈036095⑈ ⑈071904779⑈

417079629⑈